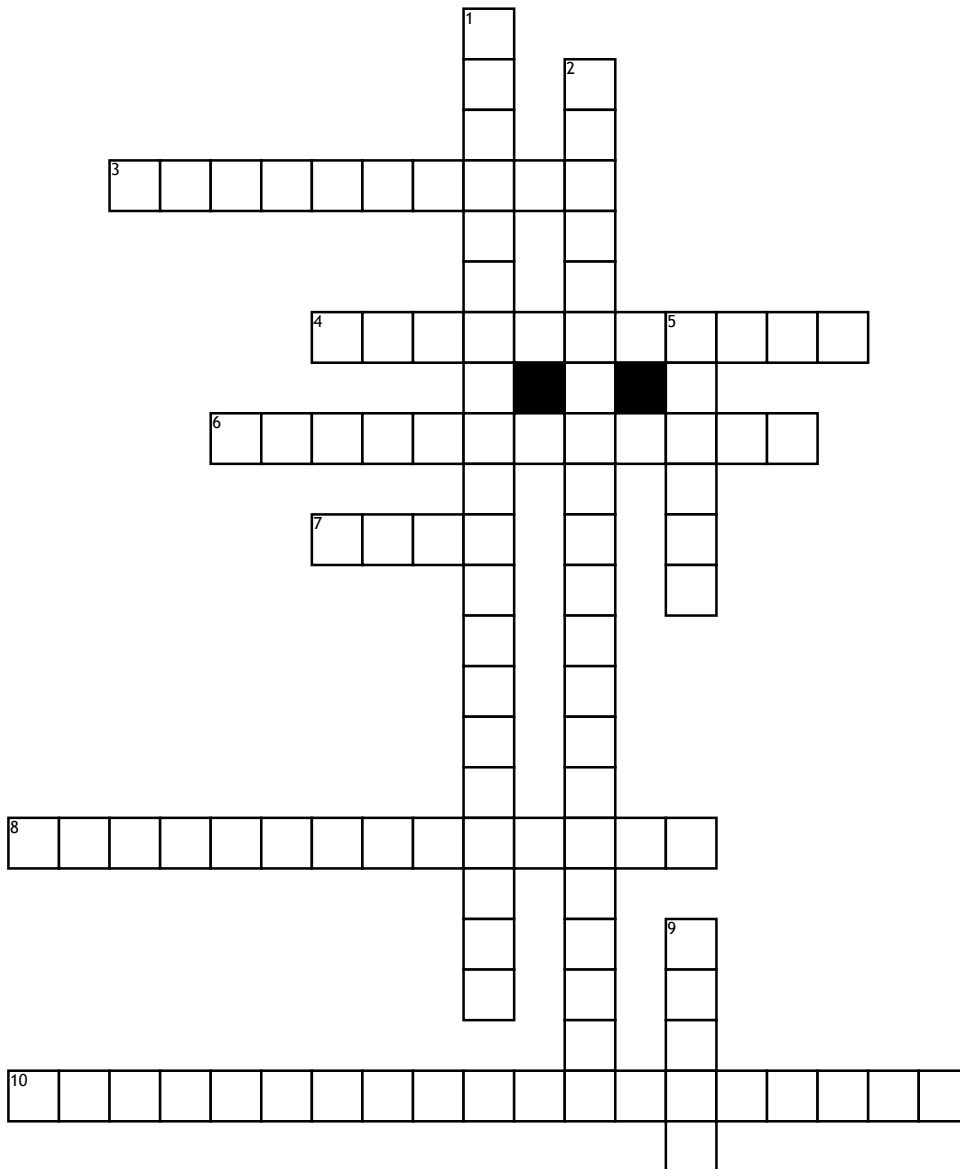


Name: _____

Date: _____

BSA AML OFAC



Across

3. Two parties must be identified when filing a CTR, the Transactor and who else?
4. The 3 stages of money laundering are Placement, Layering, and this?
6. You may never reveal whether or not a SAR as been failed as they are _____?
7. SAR records must be retained for this many years.
8. 314(a) refers to information sharing with whom?
10. OFAC stands for the Office of _____?

Down

1. Referred to as the newest pillar of BSA, this means understanding the nature and purpose of the customers' relationship with the bank.
2. Before a customer can initiate a wire transfer, we must have this on file.
5. Once suspicious activity is identified, the bank had how many days to file a SAR?
9. CIP requires we obtain the customers address. This does NOT satisfy the address requirement.