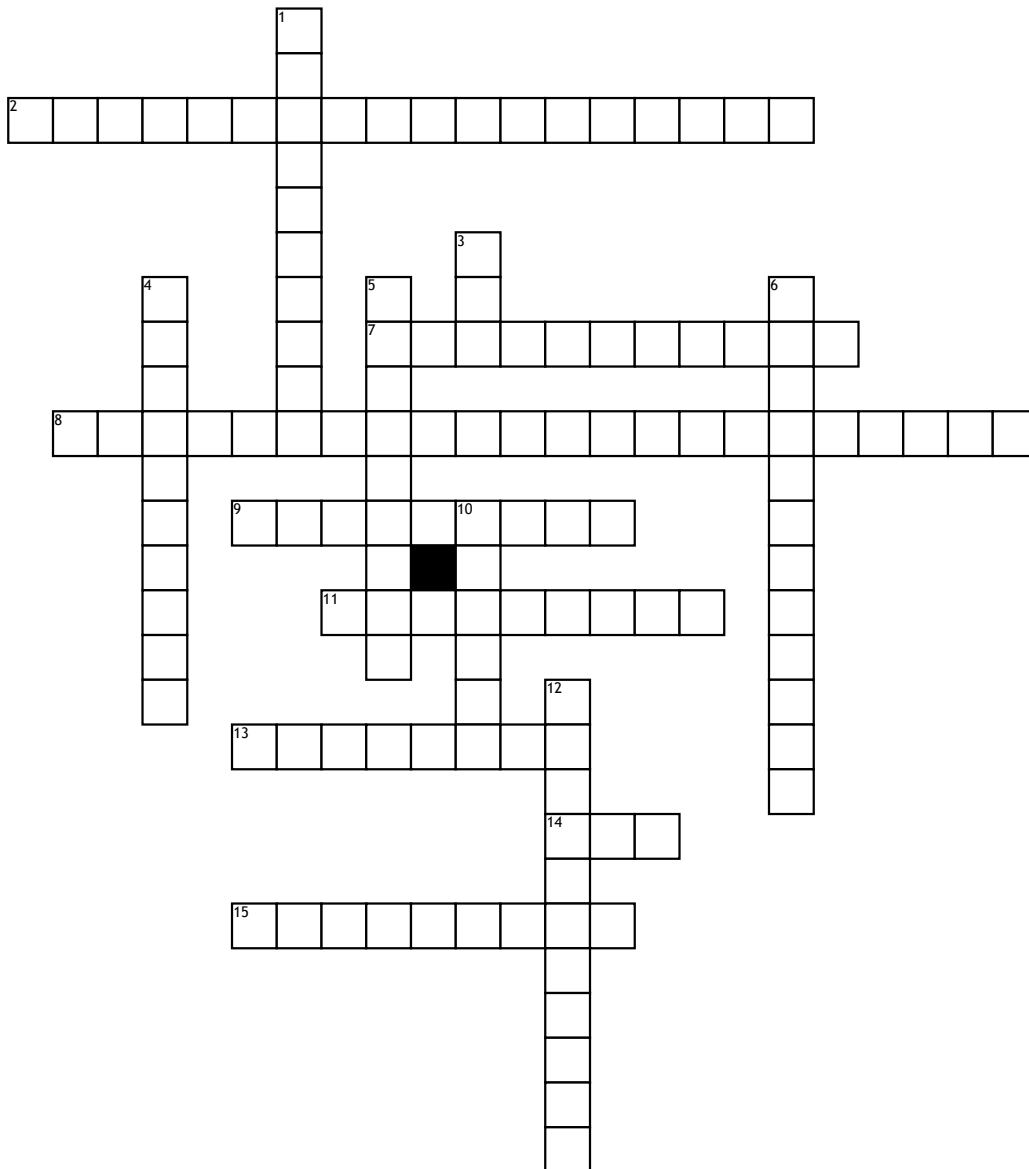


Finance Crossword



Across

2. what is the type of trading where an investment bank buys and sells on its own account and puts its capital at risk?
7. When a new issue is undersubscribed, who is obliged to subscribe for the residual quantity of shares?
8. What is the collective term used to describe the Mutual funds, Hedge funds, Pension funds, etc., ?
9. What is The group of companies/STOs formed to participate in the launch of new issue?
11. What is the agreed intend date of delivery?

13. What is the market place within which the securities are bought and sold?

14. What is the most efficient method of settlement?

15. Who records share ownership and changes in share ownership on behalf of the Issuer?

Down

1. The unofficial market where interested traders can bid and offer shares of a forthcoming IPO?
3. The price at which the Market Maker is prepared to buy from?
4. A Disclosure document that describes a financial security for potential buyers?

5. Who holds securities and (usually) cash on its clients' behalf; & May effect the settlement of trades on its clients' behalf?

6. The relaxation of government controls within securities marketplaces commonly known as _____

10. The interest on bonds is commonly known as

12. Who coordinates the activities of an underwriting syndicate and plays a primary role in launch and sale of the issues of securities underwritten by the syndicate?