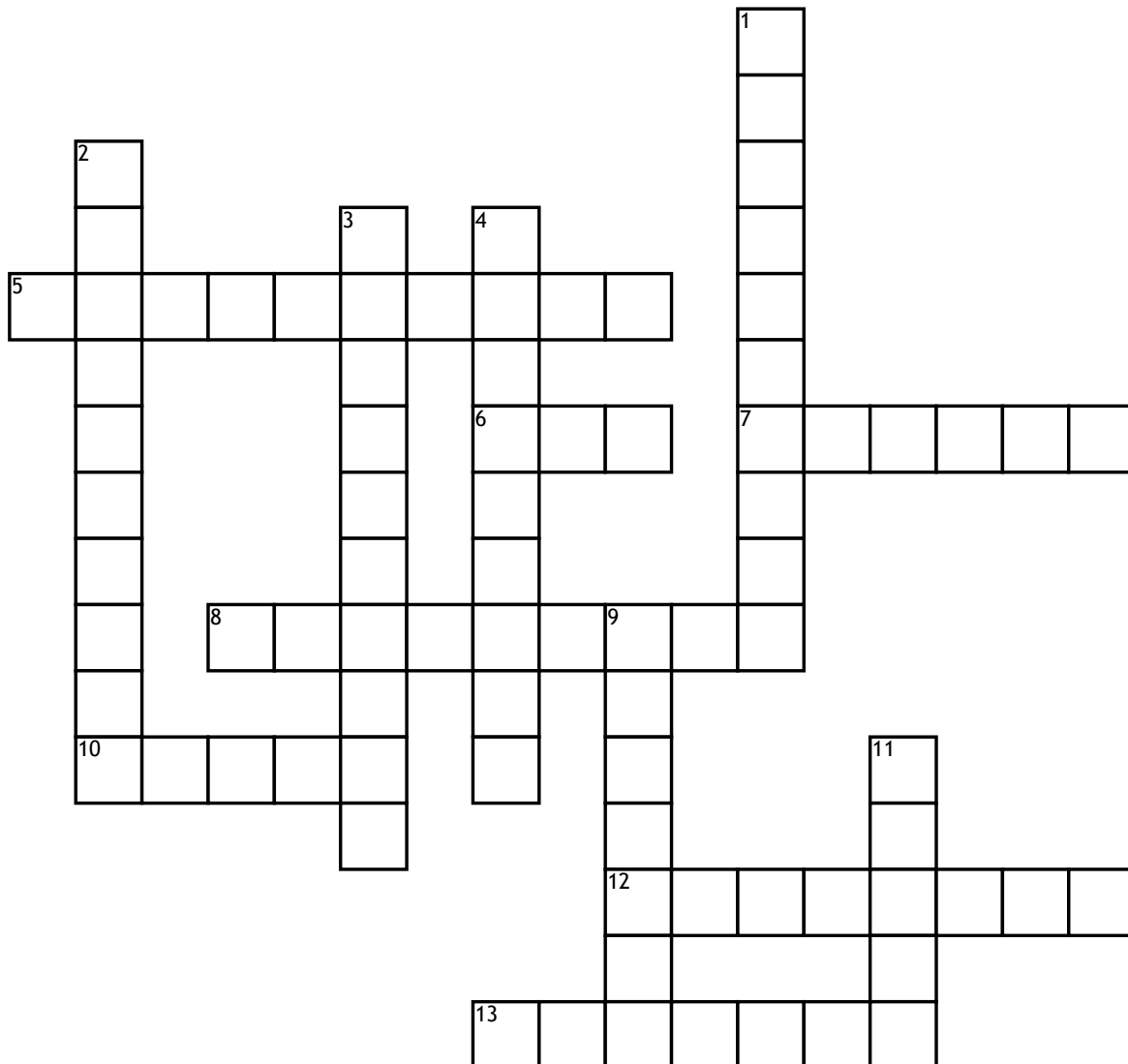


Important Topics Crossword



Across

5. The team that covers Certificate Issuance/ DRS Requests.

6. A resource for advisors and staff that houses the most up to date _____ information. Used primarily during year end and filing season.

7. The YEPG deadline for Certificate Issuance/ DRS Requests and Control and Restricted Stock Re-Registrations & Sales (Number of date spelt out)

8. The team responsible for changes made to recipient of emails out of processing cases.

10. The was an _____ with the Alternative Investment Custody Fee Report.

12. The last name of the CFN employee holding a webinar for our advisor and staff covering frequently used year end reports.

13. We need to be extra vigilant against emails, texts, and phone attacks by _____.

Down

1. The YEPG deadline for this is December 31st. A strategy advisors use to remove positions at a loss.

2. This company and others were not appearing on the Alternative Investment Custody Fee Report.

3. An All-Commonwealth conference call was held on November 24th. The _____ sector and insights into the COVID-19 pandemic were discussed. A replay is available within the news item.

4. The date that AI fees will show in accounts (with an as of December 15th date)

9. A news item was posted discussing the Dos and Don'ts related to cyber hacking attempts. The listed contact on this news item is _____.

11. The Nickname for the Default Recipient of Case Emails system.