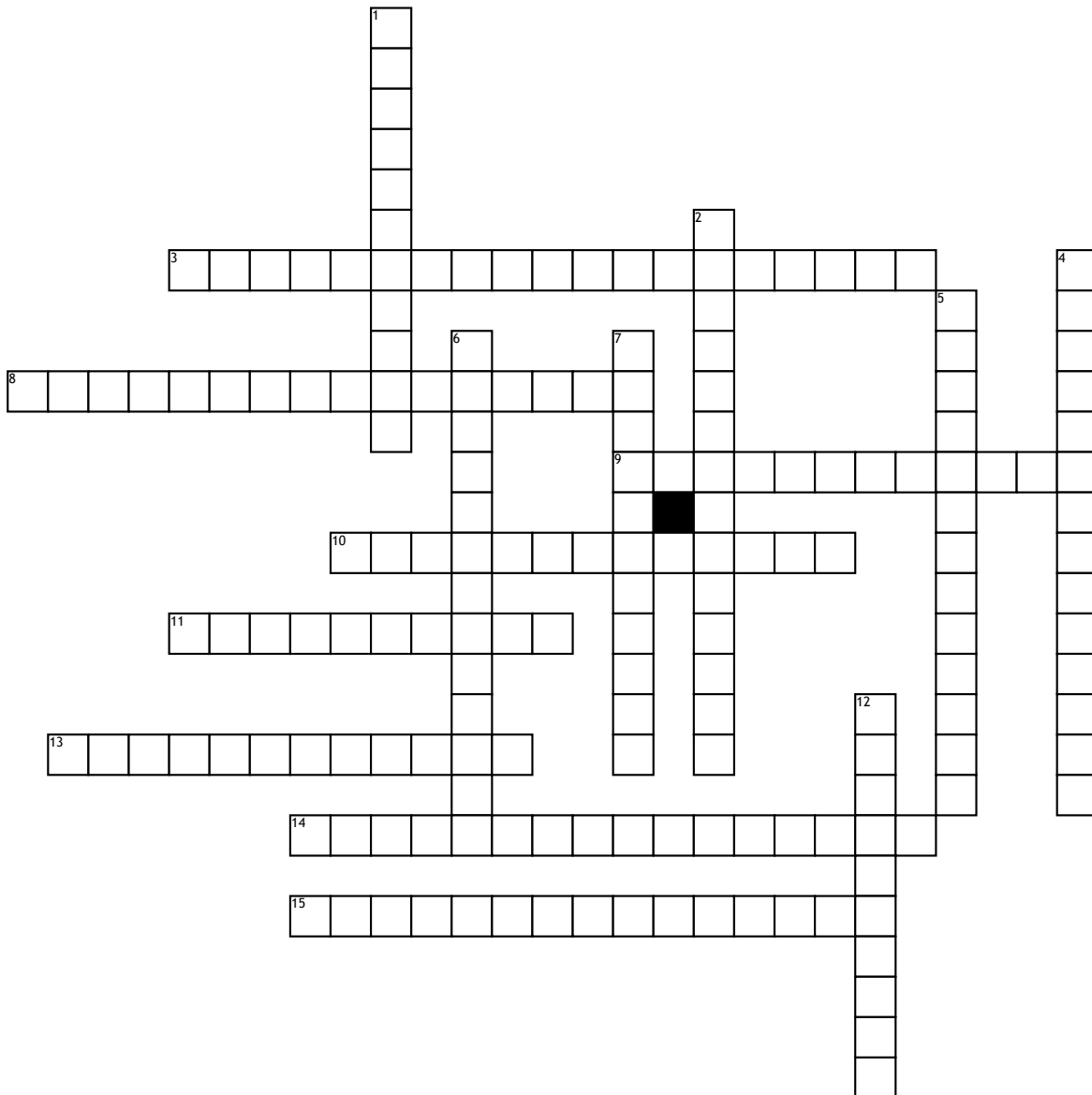


# MODULE 1 EXERCISE



## Across

**3.** An equity investment that has not actually been sold but has increased in price or value over its original cost

**8.** Minority shareholders can gain representation in the Board of Directors in this type of voting

**9.** The bond's coupon rate

**10.** Shortest term debt instruments issued by the government. They have tenors of 91-days, 182-days, and 364-days

**11.** Also referred to as the cut-off date in issuing dividends

**13.** Activity where the lead underwriter commits to the issuing corporation that the amount sought to be raised from the investing public will be delivered in full to the corporation

**14.** Helps companies raise funds, gives financial advice to help the issuer and they also assist in marketing the securities to be issued

**15.** The right to maintain proportionate ownership in a corporation

## Down

**1.** Instruments / debt securities maturing in one year or less

**2.** The underwriter agrees to purchase the entire issue and absorb any securities that are not sold

**4.** Paid to stockholders by issuing additional shares of the company

**5.** Preferred stock that allows the holder to participate in extra dividends declared on common

**6.** Bonds that are secured by liens on the physical assets of the corporation

**7.** These debt instruments are issued by corporations sponsored, owned or controlled by the

**12.** Bonds that are backed by reputation, credit record and earning power of the corporation