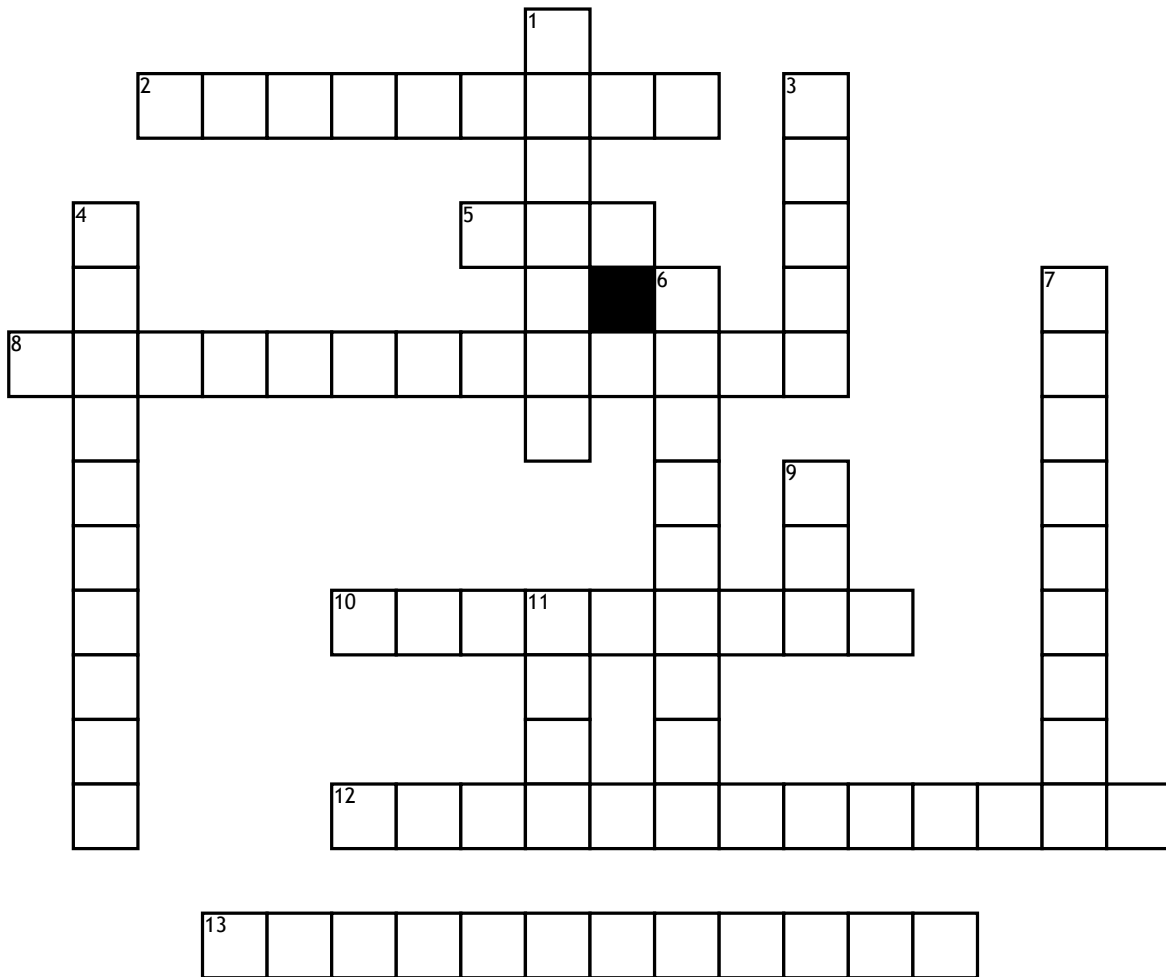


QMA Annual Compliance Training 2016



Across

2. Material [____ - ____] information

5. QMA's primary regulator

8. Pre-approve political [_____] in "PATS"

10. Avoid these or disclose them

12. You are [_____] to conduct Prudential or QMA business via social media

13. QMA trading information is [_____]

Down

1. Always put them first

3. Report these in "GETS"

4. Forwarding e-mail from your QMA account that contains proprietary QMA information is [_____]

6. Find compliance policies here

7. Name of TV show last year's training was based on

9. Type this to access SunGard

11. Abbreviation for an anti-bribery/anti-corruption act